

# Investment, Governance and Engagement Sub Committee

## Item 8 – Paper C

### TPR Public Service Governance and Administration Survey 2016

The TPR has published its 2016 Public Service Governance and Administration Survey.

A summary of the report can be found at  
<http://www.thepensionsregulator.gov.uk/docs/public-service-research-summary-2017.pdf>

The main report (43 pages) can be found at  
<http://www.thepensionsregulator.gov.uk/docs/public-service-research-2017.pdf>

The table below sets out some of the main findings :-

	LGPS	Fire	Police	Other
1 Survey response rate	90%	98%	76%	100%
2 Conflicts policy and procedure for pension board members	85%	80%	71%	100%
3 Register of interests	87%	86%	74%	100%
4 Knowledge and Understanding arrangements	93%	94%	89%	100%
5 Frequency of scheme manager attendance at pension board meetings	86%	68%	43%	82%
6 Procedures for assessing and managing risk	92%	44%	51%	91%
7 Risk register	91%	38%	51%	91%
8 Where risk management procedures have contributed significantly to new or revised internal controls	29%	14%	28%	20%
9 Where administration is delivered in-house	73%	24%	20%	36%
10 Employers providing timely, accurate and complete data	7%	58%	63%	9%
11 Data review within the last 12 months	83%	68%	77%	100%
12 Data review covering both before and after 1 April 2015	76%	68%	61%	91%
13 Where data review identified any issues or problems	66%	45%	52%	100%
14 All annual benefit statements received by statutory deadline	45%	32%	54%	36%
15 Average number of complaints entering IDRP	38%	48%	44%	60%
16 Procedures in place to identify, assess and report breaches of the law to TPR	91%	78%	69%	100%
17 Proportion that had identified any breaches of the law in the past 12 months	45%	58%	11%	64%
18 Proportion of reported breaches that were thought to be materially significant	15%	38%	9%	45%
19 Frequency of visiting TPR web site	61%	60%	29%	73%
20 Proportion judging TPR to be effective	85%	82%	74%	82%

### **Recommendation – that the committee notes publication of the report**